

# An exploratory analysis of features of New Orleans charter schools associated with student achievement growth

Patrick J. Wolf University of Arkansas

Shannon Lasserre-Cortez

American Institutes for Research

# **Key findings**

Exploratory analyses showed that school-level student achievement growth during the 2012/13 school year in New Orleans open-enrollment public charter schools was positively associated with having:

- Kindergarten as an entry grade.
- An extended school year.
- More experienced teachers.

It was negatively associated with having:

- A higher percentage of teachers with a graduate degree.
- A higher student-teacher ratio.
- More student supports offered.





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# **Summary**

This exploratory study examined the associations of certain organizational, operational, and instructional features ("potential indicators") of open-enrollment public charter schools in New Orleans, Louisiana, with school-level value-added measures of student achievement growth in the 2012/13 school year. The achievement domains included were English language arts, math, and science.

Of the 85 public schools in the city during the 2012/13 school year, 75 were charter schools, supporting 92 different campuses and enrolling more than 84 percent of public school students in New Orleans. This predominance of charter schools makes New Orleans a particularly promising location for exploring the potential indicators of charter school effectiveness.

Six features emerged from this study as potential indicators of New Orleans charter school effectiveness, particularly for school-level measures of student achievement growth in English language arts. School-level student achievement growth was positively associated with having kindergarten as an entry grade, an extended school year, and more experienced teachers. It was negatively associated with having a higher percentage of teachers with a graduate degree, a higher student—teacher ratio, and more student supports offered.

This exploratory descriptive analysis is limited in important ways. Because it is non-experimental, the associations identified should not be interpreted as causal. Because the study had to be conducted at the school level and only 50 schools were in the potential indicator analysis sample, the study had a limited ability to identify systematic associations between school organizational, operational, and instructional features and school-level value-added measures of student achievement growth.

Despite these limitations, the exploratory results are a first step in identifying charter school conditions that could be studied through more rigorous research to determine whether they are valid indicators of student success.

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# Why this study?

For decades education policymakers and practitioners have been concerned about improving student achievement in New Orleans, Louisiana. After the devastation of Hurricane Katrina in 2005, the public school system in New Orleans, already one of the lowest performing in the United States, was reorganized, and most schools became charter schools (Harris & Larsen, 2016). As a result, the number of charter schools in New Orleans expanded rapidly. Charter schooling is now the primary governance structure for public education in the city, making New Orleans unique among U.S. school districts. During the 2012/13 school year (the period covered by this study), 75 of the 85 public schools in New Orleans were chartered, enrolling more than 84 percent of public school students in the city in 92 school campuses.

Public charter schools operate outside the traditional public school district system according to provisions of their organizational charter. The charter typically sets performance goals for the school but leaves school leaders free to determine the best means to achieve those goals. This arrangement provides charter schools with the flexibility to innovate in both their features and their operation. Therefore, charter schools provide an opportunity to explore what school characteristics are potential indicators of school effectiveness (Maranto, Milliman, Hess, & Gresham, 2001).

The Louisiana Charter Schools Research Alliance of the Regional Educational Laboratory (REL) Southwest requested an exploratory analysis of the distinctive features and potential indicators of charter school effectiveness in New Orleans. The alliance was organized as a source of information on projects and studies that would be useful to charter school operators and overseers in the state.<sup>1</sup>

Structural features of schools, such as entry grade and grade span, long have been thought to affect student achievement (for example, Purkey & Smith 1983), by either facilitating or hindering student learning. This study explored the association between various organizational, operational, and instructional features of charter schools in New Orleans and their students' achievement growth. Charter school features correlated with student achievement gains are described in this study as "potential indicators" of charter school effectiveness. This study builds on prior research identifying a variety of school features that are potential indicators of charter school effectiveness (box 1).

This study explored the association between various organizational, operational, and instructional features of charter schools in New Orleans and their students' achievement growth

# Box 1. Potential indicators of charter school effectiveness identified in the research and used in the current study

A small number of studies of charter schools have examined school characteristics that are potential indicators, or correlates, of charter school effectiveness. The following list of potential indicators of effectiveness, identified in prior studies, includes characteristics for which reliable measures were available for the current study's analysis:

- Authorizer type (government entity or nonprofit organization; Witte, Weimer, Shober, & Schlomer, 2007; Zimmer, Gill, Attridge, & Obenauf, 2014).
- School age (Bifulco & Ladd, 2006; Buddin & Zimmer, 2005; Hanushek, Kain, Rivkin, & Branch, 2007; Kelly & Loveless, 2012; Zimmer, Blanc, Gill, & Christman, 2008).
- School size (Berends, Goldring, Stein, & Cravens, 2010; Gleason et al., 2010).
- Grades served, including number of grades and kindergarten as the entry grade (Abdulkadiroglu et al., 2009; Angrist, Cohodes, Dynarski, Pathak, & Walters, 2013; Jacob & Rockoff, 2011; Zimmer et al., 2009).
- Extended learning day (Angrist, Pathak, & Walters, 2011; Dobbie & Fryer, 2011; Gleason, Tuttle, Gill, Nichols-Barrer, & Teh, 2014; Macey, Decker, & Eckes, 2009; Musher et al., 2005).
- Extended school year (Hoxby, Murarka, & Kang, 2009; Musher, Musher, Graviss, & Strudler, 2005).
- Percentage of teachers traditionally certified (Steele, Vernez, Gottfried, & Schwam-Baird, 2011).
- Teacher experience in years (Steele et al., 2011).

Other studies of traditional public schools rather than charter schools have suggested that certain school features might be potential indicators of effectiveness. Those for which reliable measures were available for the current study's analysis include:

- Percentage of teachers traditionally certified (Darling-Hammond, Holtzman, Gatlin, & Heilig, 2005).
- Percentage of teachers with a graduate degree (Betts, Zau, & Rice, 2003; Dee, 2004).
- Teacher experience in years (Staiger & Rockoff, 2010).
- Teacher quality, represented in this analysis by the percentage of teachers rated highly effective (Rockoff, 2004; Rivkin, Hanushek & Kain, 2005).
- Class size, represented in this analysis by student-teacher ratio (Mosteller, 1997).
- Availability of student support staff, such as counselors, speech therapists, and occupational therapists (Angrist & Lavy, 1999; Black & Wiliam, 1998; Ritter, 2000; Rockoff, 2004; Rumberger & Palardy, 2005; Taylor & Tyler, 2012).

RAND researchers, in partnership with the Cowen Institute for Public Education Initiatives at Tulane University, conducted an exploratory study of the features that distinguish New Orleans public charter schools from traditional public schools in the city (Steele et al., 2011). Two features identified by that study are included in the current study's analysis:

- Higher percentage of traditionally certified teachers.
- Teachers with less experience.

Additional information on each of these potential indicators of charter school effectiveness is in appendix A. The review process that yielded the list is described in appendix B.

## What the study examined

This exploratory analysis addressed the following research question:

• What organizational, operational, and instructional features of New Orleans charter schools serving grades 3–8 are potential indicators of student achievement growth in English language arts, math, and science?

The analysis focused on three types of potential indicators: organizational features, operational features, and instructional features. Organizational features are related to a school as an organization, irrespective of its mission. Such features include age, size, scope, and source of authority and oversight, features that are relevant to all organizations regardless of what they do. Organizational features can be recognized by their inclusion in analyses of both education and noneducation organizations (for example, Downs 1967; Hoxby et al., 2009; Wolf, 1993). Operational features are related to the logistics of how a school functions. Such features include the length of the school day and school year as well as whether daily transportation is provided to students. Instructional features are related to how a school implements its mission of educating students. Such features, specific to schools, include classroom size, student supports, and the qualifications of teachers.

These features were selected because prior research found them to be associated with student achievement growth (see box 1) and because policymakers and practitioners have at least some ability to shape them at the school level. (Comparisons between the population of New Orleans public charter schools and the entire population of Louisiana public schools on these and other features can be found in appendix C.)

New Orleans public charter schools tend to serve a distinct population of Louisiana students and typically do so in ways that differ from the ways in which traditional public schools do so. Charter school students in New Orleans are more likely than traditional public school students in Louisiana to be Black and to come from low-income households but are less likely to be Hispanic. On average, New Orleans charter schools have wider grade spans than traditional public schools in Louisiana and are likely to include both kindergarten and grade 6, and sometimes grade 12 as well, suggesting that the charter school model is to enroll students for an extended number of years before transitioning them to another school. New Orleans charter schools have fewer student supports, suggesting that they rely more on classroom teachers in addressing the needs of disadvantaged students than do traditional public schools in Louisiana. The proportion of teachers rated highly effective in New Orleans charter schools is lower than the statewide average.

The analysis focused on the extent to which the selected features are correlated with the school-level test-score gains of elementary and middle school students in New Orleans charter schools.<sup>2</sup> Key terms used in this report are described in box 2. A summary of data sources and analysis methods is in box 3.

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#### Box 2. Key terms

**Charter school.** A public school authorized to operate with some degree of independence from district or state public school regulations while being held accountable for student outcomes by an authorizing entity. This study counts each school campus of a charter school operator within the geographic boundary of New Orleans as an individual New Orleans public charter school, because the Louisiana Department of Education assigns each school campus a unique school identification number.

**Indicator.** A feature of a school that is statistically associated with school-level outcomes, in this case student achievement growth in English language arts, math, or science (value-added measure scores). Features of schools that research has indicated might explain school effectiveness and that can be altered by policymakers or practitioners are potential indicators. This study examines potential indicators of effectiveness among New Orleans charter schools.

**Open enrollment.** A type of public charter school that does not apply any restrictive admissions standards on students. Such schools admit all interested applicants to the grades that they serve and enroll students by lottery if they have more applicants than seats. Language immersion schools that required applicants to have some previous exposure to the language were classified as open enrollment because such a minimum requirement is not likely to be restrictive for the subpopulation of students applying to such schools. Schools that required applicants to score above a certain level on a general achievement test were classified as selective-admissions schools rather than open-enrollment schools because such a test is likely to be restrictive for the subpopulation of students applying.

**School authorizer.** A legal entity with the responsibility to decide whether new charter schools should open, to monitor charter school operation and performance, and to decide whether existing charter schools should close. Charter school authorizers in Louisiana include public school boards, the Recovery School District, and the Louisiana Board of Elementary and Secondary Education.

**Student support staff index.** Count of how many of the following student supports are offered at the school: speech therapy, occupational therapy, adapted physical education, mental health coordination, on-site counseling, school psychologist, mentoring, and peer supports.

**Value-added measure.** Average learning gains that could reasonably be attributed to students' education experiences in school. Value-added measures were calculated by the Louisiana Department of Education for English language arts, math, and science. This study uses school-level value-added measure scores provided by the Louisiana Department of Education.

#### Box 3. Data sources and research methods

#### Data

This study used school-level data provided by the Louisiana Department of Education and by the New Orleans Parents' Guide, a nonprofit community organization that collects information from all public schools in the city and produces the annual *New Orleans Parents' Guide to Public Schools* (New Orleans Parents' Guide, 2013; see appendix B for more information).

The Louisiana Department of Education data included:

- School-level value-added measure scores in English language arts, math, and science that
  measure student achievement growth in scale score units while controlling for student
  background characteristics.
- Measures of the following potential indicators: school age, size, and number and range of
  grades served; inclusion of prekindergarten, kindergarten, grade 6, and grade 12; school
  authorizer type; percentage of teachers certified; teacher experience; student-teacher
  ratio; and percentage of teachers rated highly effective in practice.
- Measures of the characteristics of the student population served by New Orleans charter schools. These measures are listed in appendix B for descriptive purposes, but they were not used in the analysis. These descriptive variables included percentage of students from low-income households (identified by eligibility for the federal school lunch program), percentage of Black and Hispanic students, percentage of English learner students, percentage of female students, and percentage of students in special education.

The New Orleans Parents' Guide (2013) data covered the potential indicator variables of schools' use of an extended school day or year, student supports offered, and the provision of transportation or tutoring.

#### Sample

This report presents analytic results for a sample of open-enrollment New Orleans public charter schools operating during the 2012/13 school year that enrolled a sufficient number of students in tested grades to produce at least one school-level student achievement growth score from the spring 2013 test administration (see descriptive information about the sample in table B2 in appendix B). The analytic sample consisted of 49 or 50 of the 92 charter school campuses, depending on the subject area with student achievement growth scores (see table B2). Seven charter school campuses were excluded because they had exclusionary admissions requirements, and thirty-five were excluded because they lacked student achievement growth scores. Descriptive statistics are provided in appendix B for the entire population of 92 charter school campuses, but only the analytic sample of 49 or 50 campuses was used in the potential indicator analysis.

#### Methodology

The potential indicator analysis used ordinary least-squares regression to estimate the extent to which each potential indicator variable was systematically associated (covaried) with variation in school-level student achievement growth scores, while the association between all other potential indicators and school-level student achievement growth scores was controlled for. An indicator variable for whether the school offered prekindergarten was included as a control variable in the regressions so that the effect of kindergarten alone would be clear. Associations are judged to be statistically significant if there is a low likelihood that the association is due to random chance or statistical noise. The standard threshold for statistical significance in education evaluations of p < .05 was used in this analysis. Only school features whose association with a student achievement growth outcome was statistically significant were considered potential indicators of charter school effectiveness. See appendix B for additional information on study methods.

# What the study found

Regression analysis found that several New Orleans public charter school features were statistically significantly associated with school-level student achievement growth, especially in English language arts achievement (table 1). Those features are considered potential indicators of New Orleans charter school effectiveness.

The organizational feature of kindergarten as an entry grade, a subindicator of grades served, was statistically significantly associated with higher student achievement growth in English language arts. The operational feature of an extended school year also was statistically significantly associated with higher student achievement growth in English language arts. The instructional features of a lower percentage of teachers with a graduate degree, more experienced teachers, and a lower student—teacher ratio were statistically significantly associated with higher student achievement growth in English language arts (see table D1 in appendix D for detailed statistical results).

Two organizational school features had associations with English language arts student achievement growth that were statistically significant at the 90 percent confidence level

Table 1. Association of potential indicators of effectiveness of New Orleans public charter schools with English language arts, math, and science value-added measure scores, 2012/13 (unstandardized regression coefficient estimates)

Feature	English language arts	Math	Science
Organizational	iangaago arto		55101100
Authorized by Recovery School District <sup>a</sup>	3.78	4.65	2.85
School age	-0.78	-0.78	0.13
School size in number of students	1.48	2.08	0.08
Number of grades served	-1.83	-2.21	0.59
Includes prekindergarten	-4.51	-7.06	-2.12
Includes kindergarten	15.30**	22.59*	0.31
Operational			
Offers extended learning day	-3.11	-0.95	-2.42
Offers extended school year	5.22*	6.45	3.09
Instructional			
Percentage of teachers traditionally certified	12.20	11.15	-0.74
Percentage of teachers with graduate degree	-25.55*	-33.83	-4.49
Teacher experience in years	1.09*	0.98	0.08
Percentage of teachers rated highly effective	2.87	1.15	-1.86
Student-teacher ratio	-0.92*	-0.82	-1.24*
Student support staff index <sup>b</sup>	-0.15	-0.90	-2.00*

<sup>\*</sup> Significant at p < .05; \*\* significant at p < .01

**Note:** Value-added measure scores are in scale score units. F-statistic for English language arts model = 3.10; probability > F = .00. F-statistic for math model = 1.09; probability > F = .41. F-statistic for science model = 1.27; probability > F = .28.

**Source:** Authors' calculations based on school-level data provided by the Louisiana Department of Education and New Orleans Parents' Guide (2013).

Kindergarten as an entry grade, an extended school year, a lower percentage of teachers with a graduate degree, more experienced teachers, and a lower studentteacher ratio were all statistically significantly associated with higher student achievement growth in English language arts

**a.** A special school district run by the Louisiana Department of Education that intervenes in the management of chronically low-performing schools.

**b.** Count of each of the student supports offered at a school.

but fell short of statistical significance at the 95 percent standard used in this study: larger school size was associated with higher student achievement growth in English language arts, and more grades served was associated with lower student achievement growth in English language arts. These results should be interpreted with caution because they fail to meet the conventional standard for statistical significance.

The analysis revealed fewer potential indicators of charter school effectiveness associated with student achievement growth in math and science than in English language arts (see tables D1–D3 in appendix D for detailed statistical results). Having kindergarten as an entry grade was the only school feature that had a statistically significant association with higher student achievement growth in math. Having a lower student–teacher ratio and fewer student supports offered were the only school features that had a statistically significant association with higher student achievement growth in science.

The features of New Orleans charter schools in 2012/13 had no statistically significant associations with student achievement growth in 2013/14. This could be due to the original findings being false discoveries of associations by mere chance, misalignment of 2012/13 measures of the potential indicator variables with the 2013/14 student achievement growth outcome data, or less precision in the 2013/14 value-added measures of student achievement growth. The analysis could not distinguish among these three possible explanations for the nonrobustness of the findings across outcome years. Specifically, the regression coefficients for the eight cases of associations that were statistically significant for the main analysis on the 2012/13 outcome data were all smaller when the analysis was repeated on the 2013/14 outcome data, consistent with both false discoveries and misalignment as possible explanations for the differences. The standard errors of the regression estimates from the repeat of the analysis on the 2013/14 outcome data were larger than those from the main analysis on the 2012/13 outcome data in every case except for one, consistent with the possible explanation that less precise student achievement growth measures in 2013/14 explain the discrepancy.

Having kindergarten as an entry grade and having a lower student—teacher ratio were the only potential indicators that had a statistically significant association with more than one student achievement growth outcome. Having kindergarten as an entry grade was associated with higher student achievement growth in English language arts and math. Having a lower student—teacher ratio was associated with higher student achievement growth in English language arts and science.

# Implications of the study findings

This study revealed statistically significant associations between student achievement growth (especially in English language arts) and six New Orleans public charter school features in 2012/13 that may be used as potential indicators of charter school effectiveness in the future. Charter schools that had kindergarten as an entry grade, an extended school year, and more experienced teachers tended to have higher student achievement growth scores. Charter schools with a higher proportion of teachers with a graduate degree, higher student—teacher ratios, and more student supports offered tended to have lower student achievement growth scores.

Having kindergarten as an entry grade was the only school feature that had a statistically significant association with higher student achievement growth in math, and having a lower student-teacher ratio and fewer student supports offered were the only school features that had a statistically significant association with higher student achievement growth in science

One exploratory finding from the current study was inconsistent with the prior literature. Having more student supports was associated with lower achievement, which contrasts with previous research findings that having more support staff was associated with higher student test scores (Angrist & Lavy, 1999; Black & Wiliam, 1998; Ritter, 2000; Rockoff, 2004; Rumberger & Palardy, 2005; Taylor & Tyler, 2012). The exploratory results in the current study are correlational and may be due largely to variables that could not be included in the analyses rather than to causal connections. It also is possible that student outcomes are influencing potential indicators and not vice-versa. For example, persisting low student achievement may have led some schools to offer more supports to help struggling students.

Other exploratory findings of the current study were consistent with prior research on school effectiveness, either in general or specifically for public charter schools. For example, previous studies have found that years of teacher experience are predictive of larger student test score gains (Staiger & Rockoff, 2010; Steele et al., 2011). Another study found that an extended school year was associated with greater charter school effectiveness in New York City (Hoxby et al., 2009), as was the case in the current study for charter schools in New Orleans. And other studies using more precise classroom-by-classroom counts of students per teacher than the overall student—teacher ratio in each school used in the current study have also found that a smaller class size is associated with higher test score gains (Mosteller, 1997).

These exploratory results identify features that should be tested with more rigorous research methods before they are used in making decisions about the design and operation of public charter schools.

More potential indicators of charter school effectiveness were identified in the analysis of associations with student achievement growth in English language arts (five potential indicators) than in analyses of associations with student achievement growth in math (one potential indicator) and science (two potential indicators). Four potential indicators have a statistically significant association with student achievement growth in English language arts but not student achievement growth in math, even though the math coefficient estimates are larger (for having an extended school year and the percentage of teachers with a graduate degree) or very similar in size (for teacher experience and student-teacher ratio), suggesting—but not proving—that the measures of student achievement growth may have been more precise for English language arts than for math. Since the reasons for the discrepancy are not certain, additional studies that explore associations between potential indicators of charter school effectiveness and student achievement growth in all three domains (English language arts, math, and science) would be helpful in determining whether this pattern is systematic or limited to the case examined here. Research on potential indicators that can replicate results across multiple years of student achievement growth also would be welcome, since this study's findings were not consistent across outcome years.

Research has identified multiple potential indicators of charter school effectiveness (see appendix A). Thus, it would be helpful if schools collected and reported a rich set of information about these potential indicators, such as the amount and difficulty of assigned homework and formative assessment practices, on a regular basis to facilitate a more comprehensive assessment.

The finding that having more student supports was associated with lower achievement contrasts with previous research findings that having more support staff was associated with higher student test scores

# Limitations of the study

The analysis was limited by the potential indicator variables for which data were available. Some indicators, including transportation and tutoring, were almost universal features among New Orleans charter schools so that they could not be used to distinguish more effective schools from less effective schools. Data were not available on other measures of potential indicators, such as universally high expectations for students, the quality of principal leadership, and the amount of parent involvement in a child's education. Analyzing these potential indicators would have required administering surveys to teachers and students or parents, original data collection activities that were beyond the scope of this study.

Because the study relied on school-level data, the sample size for the regression analysis was small (49 or 50 observations). It is possible that some potential indicators of charter school effectiveness were not identified because of low study power. A direct analysis of student-level data would have permitted a more fine-grained and higher-powered exploration of the potential indicators of New Orleans charter school effectiveness. However, recently enacted student privacy laws in Louisiana prevented the Louisiana Department of Education from releasing student-level achievement data to the study team. Instead, the department used student-level achievement data to generate the school-level student achievement growth scores that were then shared with the study team.

The data for this study were limited to the 2012/13 and 2013/14 school years, with the main analysis focused on the 2012/13 school year, when the potential indicator measures and student achievement outcome measures were aligned. It is possible that some of the findings were particular to conditions that students experienced that year and might not hold in other years. A longitudinal study with multiple years of data on student achievement growth outcomes and indicator data aligned with those outcomes would produce more reliable estimates of potential indicators of charter school effectiveness. Such a study was not possible in this case, so readers are cautioned not to draw firm conclusions from these findings.

All the variables examined likely contained some degree of measurement error (Kmenta 1986). In this study the data for the dependent variables were provided by the Louisiana Department of Education, which calculated the student achievement growth scores for individual schools based on aggregate student performance on the Louisiana Educational Assessment Program and Integrated Louisiana Assessment Program accountability tests. These are typical criterion-referenced tests designed by psychometrics consultants working with Louisiana educators and government officials (Louisiana Department of Education, 2007). The procedure used to generate school-level student achievement growth scores was consistent with professional practice and drew on data for student prior-year achievement and background characteristics (see appendix B). Still, some degree of measurement error is likely since the dependent variables used in this study were themselves the product of statistical estimation. Staff of the Louisiana Department of Education suggested to the study team that they had more confidence in the reliability of the student achievement growth estimations for English language arts and math than in the reliability of the student achievement growth estimations for science. Student achievement growth estimations for English language arts and math count double in the Louisiana school accountability

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metric, so school personnel have an incentive to focus on producing learning gains in those areas.

For the potential indicators used in the analysis, the magnitude of error is likely of an acceptable level given the involvement of the Louisiana Department of Education in data collection quality control for all the variables in the study, including those from the *New Orleans Parents' Guide to Public Schools* (New Orleans Parents' Guide, 2013). Potential indicators of charter school effectiveness that likely are not subject to precise measurement, such as disciplinary policy, were excluded from the study at the planning stage due to concerns about severe measurement error.

The student achievement growth that informed the potential indicator analysis excluded certain important student subgroups. Students in grades K–3 and 9–12 in 2013, students who changed schools in 2013, and students with disabilities who took an alternative assessment in place of the standard state test were not represented in the baseline and outcome tests that generated the school-level student achievement growth calculations. The results of the potential indicator portion of this study apply directly only to the population of stable New Orleans charter school students in a regular education program in grades 4–8 in 2012/13.

The study is limited to the New Orleans open-enrollment charter schools with 2012/13 student achievement growth scores generated from 2011/12 and 2012/13 accountability testing. Schools that did not operate in both 2011/12 and 2012/13, because they were new or because they closed in 2012/13, were excluded from the sample, as were schools that required an academic entrance exam and schools that enrolled too few students in tested grades to report school-level scores without violating privacy laws. These schools could not be included in the analytic sample because their selective nature would have made them too different from the other charter schools to include (in the case of schools with entrance exams) or because there were no data for them (in the case of schools that enrolled too few students to report scores). Thus, the results of this study do not necessary apply to these types of New Orleans charter schools.

Many of the potential indicators analyzed are not fully independent of each other. For example, class size, school size, and school grade span all will be strongly correlated with each other in charter schools with just one classroom per grade. Such multicollinearity among explanatory variables in regression estimations does not generate bias but does increase the random error of the estimates (Kmenta, 1986). Since the analysis already is underpowered, inefficiency due to a high degree of multicollinearity among some of the potential indicators is an added limitation of the study.

Given the limitations of this exploratory study, practitioners are cautioned to consider the findings as only a first step in identifying potential indicators of school effectiveness. The most important function of these findings is to signal to researchers which features of public charter schools should be evaluated more rigorously. Should follow-up studies confirm that certain school features are causes of public charter effectiveness rather than merely correlates, practitioners would then be well advised to adopt those organizational, operational, or instructional features in their schools.

The results of the potential indicator portion of this study apply directly only to the population of stable New Orleans charter school students in a regular education program in grades 4-8 in 2012/13

# Appendix A. Literature review

This appendix summarizes the research literature on potential indicators of charter school effectiveness and identifies which indicators could not be included in the research. It also discusses previous research on education in New Orleans and the role of public charter schools in the city.

#### Potential indicators of student achievement

A systematic literature review identified 23 potential indicators of charter school student achievement. Twelve of these indicators were included in the potential indicator analysis in this study, either through indicator or subindicator variables; eleven were not.

The following indicators were included in the analysis:

- 1. Authorizer type.
- 2. School age.
- 3. School size.
- 4. Grades served, represented in the analysis by the subindicators of number of grades served and inclusion of kindergarten as an entry grade.
- 5. Extended learning day.
- 6. Extended school year.
- 7. Percentage of teachers traditionally certified
- 8. Percentage of teachers with a graduate degree.
- 9. Teacher experience in years.
- 10. Teacher quality, represented in the analysis by the percentage of teachers rated highly effective.
- 11. Class size, represented in the analysis by student-teacher ratio.
- 12. Availability of student support staff (such as counselors, speech therapists, and occupational therapists).

The following indicators were not included in the analysis:

- 1. Availability of tutoring.
- 2. Knowledge is Power Program (KIPP) school model.
- 3. For-profit or nonprofit status.
- 4. Administrative structure of the charter management organization.
- 5. Academic press.
- 6. Extent of instructional innovation.
- 7. Principal leadership.
- 8. Parent involvement.
- 9. Disciplinary policy.
- 10. Amount and difficulty of homework.
- 11. Use of formative assessment.

#### Potential indicators of student achievement in charter schools that were included in the analysis

1. Authorizer type. Two studies have found that charter schools authorized by government entities tend to produce higher achievement growth than do charter schools authorized by independent nonprofit organizations (Witte et al., 2007; Zimmer et al., 2014). New Orleans charter schools are all authorized by government agencies: the Recovery School District (a

special school district run by the Louisiana Department of Education that intervenes in the management of chronically low-performing schools), the Orleans Parish School Board, or the Louisiana Board of Education.

- 2. School age. School age is the most commonly reported potential indicator of charter school effectiveness. Mature charter schools produce higher student achievement growth (Bifulco & Ladd, 2006; Buddin & Zimmer, 2005; Cremata et al., 2013; Hanushek et al., 2007; Kelly & Loveless, 2012; Zimmer et al., 2008). As with the association between teacher experience and student test scores, researchers hypothesize that older charter schools have higher student achievement growth both because less effective charter schools close down and because young charter schools learn how to operate more effectively over time.
- 3. School size. All else equal, smaller public charter schools tend to perform more effectively (Berends et al., 2010; Gleason et al., 2010). Smaller schools are most clearly predictive of higher student achievement growth in the high school grades, when schools tend to be largest (Egalite & Kisida, 2016). Research suggests that smaller (but not extremely small) education communities are especially helpful in boosting the achievement of students from low-income households and racial/ethnic minority students (Lee & Smith, 1997).
- 4. Grades served. Three studies have found significantly higher student achievement growth in charter schools that serve a broader range of grades, especially if they include both junior high and high school grades, than in charter schools that serve a narrower range of grades (Abdulkadiroglu et al., 2009; Angrist et al., 2013; Zimmer et al., 2009). The researchers hypothesize that eliminating the transition from junior high to high school produces less social and education disruption and therefore enables greater continuity in learning. Having kindergarten as an entry grade also is hypothesized to improve student learning outcomes by enabling students to enter a school at kindergarten without the disruption of transitioning from a previous school. Only 4 of the 50 public charter schools in the analytic sample for this study included grade ranges that spanned both junior high and high school grades, so the study was unable to explore the specific claim that combining those grade levels is a potential indicator of New Orleans charter school effectiveness. The subindicators of the number of grades served and kindergarten as an entry grade were included in the potential indicator analysis.
- **5. Extended learning day.** Several studies have reported that charter schools with added time for formal academic instruction, beyond the standard seven-hour school day, have a stronger positive effect on student achievement (Angrist et al., 2011; Gleason et al., 2014; Macey et al., 2009; Musher et al., 2005). The extra time needs to be structured and to have academic content for extended school days to be positively associated with student achievement.
- **6.** Extended school year. Increasing learning time by lengthening the school year also has been identified as a potential indicator of charter school effectiveness (Hoxby et al., 2009; Musher et al., 2005). Distinct from year-round schooling models, which involve the same number of instruction days as conventional school calendars, extended school years add instruction days either in the summer or on Saturdays. Charter schools in New York City provide as many as 220 instruction days, compared with the typical 180–185 days in traditional public schools, and their extended school years have been identified as the most likely reason for charter schools outperforming traditional public schools in that city (Hoxby et al., 2009).

- 7. Percentage of teachers traditionally certified. California schools that rely less on alternatively licensed teachers have been found to produce higher achievement growth (Zimmer & Buddin, 2007). The effect was consistent across the samples of public charter and traditional public schools.
- 8. Percentage of teachers with a graduate degree. Teachers with a graduate degree have been found to produce higher student achievement growth (Betts et al., 2003; Dee, 2004). However, findings have not been consistent across studies with different methodologies or samples (Buddin & Zamarro, 2009; Harris & Sass, 2011).
- 9. Teacher experience in years. Studies have concluded that teachers become more effective at increasing student achievement the longer they work as classroom teachers (Greenwald, Hedges & Lane, 1996; Staiger & Rockoff, 2010). Researchers suggest two reasons for the association between teacher experience and higher student achievement growth. First, administrators are able to observe young teachers and counsel out of teaching those who perform poorly (Staiger & Rockoff, 2010). Thus, teachers who continue past their formative years tend to be higher in the student performance distribution. Second, teachers learn by doing. Those who continue in the profession become more effective in boosting student performance, with a large share of the gains occurring in the first five years of their career (Staiger & Rockoff, 2010; Rivkin et al., 2005). Higher levels of teacher experience might be especially strongly associated with higher student performance growth in New Orleans public charter schools because charter school teachers in the city averaged 9.7 years of experience compared with an average of 13 for traditional public school teachers in 2008/2009 (Steele et al., 2011). New Orleans charter schools with teachers that average more years of experience might be particularly effective, relative to peer charter schools with teachers that average less years of experience, because there are so few teachers with many years of experience in the charter school sector.
- 10. Teacher quality. The quality of teachers has a strong association with student achievement growth (Rockoff, 2004; Rivkin et al., 2005). In this study, teacher quality was measured based on ratings of whether teachers were highly effective in the classroom by independent observers using a rubric developed by the Louisiana Department of Education.
- 11. Class size. All else equal, smaller class size tends to be associated with higher student achievement growth, especially in early elementary grades (Mosteller, 1997). The effects of class size on student achievement growth appear to be highly context dependent, varying with the maximum class size established by policy, with implementation fidelity, and with scale (Bohrnstedt & Stecher, 2002). This study used teacher–student ratio as the measure of class size.
- 12. Availability of student support staff (such as counselors, speech therapists, and occupational therapists). Schools with more specialized support staff tend to generate higher student achievement growth (Angrist & Lavy, 1999; Black & Wiliam, 1998; Ritter, 2000; Rockoff, 2004; Rumberger & Palardy, 2005; Taylor & Tyler, 2012). Education specialists, school counselors, and nurses all have been linked to higher student test scores in various contexts.

#### Potential indicators of student achievement in charter schools that were not included in the analysis

Eleven potential indicators of charter school effectiveness identified in the literature could not be included in this study for the reasons given below.

- 1. Availability of tutoring. Charter schools that provide tutoring to struggling students have been shown to increase student achievement (Dobbie & Fryer, 2011). The current study could not examine tutoring as a potential indicator of charter school effectiveness because all but five New Orleans charter schools in the analytic sample (90 percent) provided in-school tutoring to their students.
- 2. Knowledge is Power Program (KIPP) school model. KIPP schools are one of the best known national charter school networks. Researchers have found the KIPP education model to have a positive effect on student achievement (Macey et al., 2009; Angrist, Dynarski, Kane, Pathak, & Walters, 2010). The KIPP model could not be included in this analysis because too few New Orleans charter schools are KIPP schools.
- 3. For-profit or nonprofit status. Research suggests that charter schools that are incorporated as nonprofit organizations are more effective at raising student achievement than are charter schools incorporated as for-profit companies (King, 2007). The for-profit or non-profit status of schools could not be included in this analysis because too few New Orleans charter schools were for-profit.
- 4. Administrative structure of the charter management organization. Charter management organizations (CMOs) are administrative units that provide back-office services and oversight to charter school franchises. The administrative structure of the CMO has been linked to higher student achievement in public charter schools (Furgeson et al., 2012). An indicator variable for administrative structure of the CMO could not be included in this analysis because information about that feature was not available from the databases used in the study.
- **5.** Academic press. Academic press is the extent to which school personnel emphasize academic achievement in the culture and daily operations of the school. Academic press has been linked to higher test score performance in public charter schools (Berends et al., 2010; Cheng, Hitt, & Kisida, 2015). Academic press could not be included in this analysis because information about that feature was not available from the databases used in the study.
- **6.** Extent of instructional innovation. Instructional innovation involves adopting a novel or unconventional approach to delivering educational content. One previous charter school effectiveness study that examined whether instructional innovation was associated with learning gains in public charter schools found innovation measures to be negatively associated with gains, suggesting that the disruption from such changes might not be worth the benefits of adopting a new instructional approach. (Berends et al., 2010). Instructional innovation could not be included in the study because information about that feature was not available from the databases used in the study.
- **7.** Principal leadership. Charter school principals are the organizational and instructional leaders of their schools. A few charter school studies have evaluated the quality of principal leadership, either through teacher evaluations of their principals or through principal

self-reports of engaging or not engaging in a defined set of leadership practices (Campbell & Gross, 2008; Macey et al., 2009; Witte et al., 2007). Charter schools with higher ratings for principal leadership were more likely to outperform their local traditional public schools in promoting student achievement (Macey et al., 2009; Witte et al., 2007). Principal leadership could not be included in this study because information about the feature was not available in the study databases.

- 8. Parent involvement. Parents can be involved in their child's education in a variety of ways, including volunteering at school and assisting with homework. Several charter school studies have examined the association between level of parent involvement and student achievement, finding that more involvement was associated with higher achievement (Downing, Spencer, & Cavallaro, 2004; Zimmer & Buddin, 2007). Parent involvement could not be included in this study because information about the feature was not available in the study databases.
- **9.** *Disciplinary policy.* Disciplinary policies that do not exclude students from their school environment are associated with higher student achievement growth (Arcia, 2006; Beck & Muschkin, 2012; Skiba & Rausch, 2004). School disciplinary policy could not be included in this study because the measure of this feature available in the study databases was based on the descriptions of principals, raising concerns about precision and reliability.
- **10. Amount and difficulty of homework.** Assigning a greater amount of, and more challenging, homework has been associated with higher student achievement growth (Wolf & Hoople, 2006). Amount and difficulty of homework could not be included in this study because information about the feature was not available in the study databases.
- 11. Use of formative assessment. The frequent use of diagnostic tests to gauge student mastery of content, often called formative assessment, has been linked to higher student achievement growth in general (Wolf, 2007) and specifically for students in charter schools (Angrist et al., 2013; Chabrier, Cohodes, & Oreopoulos, 2016; Hoxby et al., 2009). The frequency of the use of formative assessment could not be included in this study because no information about this feature was available in the study databases.

#### Charter school student achievement in New Orleans

The post–Hurricane Katrina education landscape has resulted in an unprecedented proliferation of charter schools in New Orleans. Public charter schools constitute 88 percent of public schools in New Orleans and enroll 84 percent of the city's public school students. In addition, 75 of the 104 public charter school campuses in Louisiana are in New Orleans (Louisiana Department of Education, 2013).

Several studies have examined features of the New Orleans charter school landscape since Hurricane Katrina (for example, Kingsland & Donovan, 2013; Rasheed, 2006; Tillotson, 2006). Harris (2015, p. 12), writing on behalf of an extensive Education Research Alliance team, reported that the replacement of the New Orleans traditional public school system with an overwhelmingly charter school alternative produced "an upward change in the trajectory of student test scores in New Orleans after the reforms of about 0.2 to 0.4 standard deviations, enough to improve the typical student's performance by 8 to 15 percentile

points." The study was not able to identify potential indicators of student achievement growth in New Orleans charter schools.

Two Center for Research on Education Outcomes studies compared student achievement in New Orleans charter schools with the achievement of matched students in traditional public schools (Center for Research on Education Outcomes, 2009; Cremata et al., 2013). These studies reported that the typical charter school student in Louisiana outperformed his or her matched comparison peer in both English language arts and math, with effect sizes in the 2013 study of 0.07 standard deviation in English language arts (equivalent to 50 days of learning) and 0.09 standard deviation in math (equivalent to 65 days of learning) and effect sizes in the 2009 study of 0.06 standard deviation in both English language arts and math. Because 72 percent of Louisiana charter schools in the 2013 study (Cremata et al., 2013) were in New Orleans, this statewide Louisiana charter school achievement effect is likely to reflect results specific to New Orleans as well.

In partnership with the Cowen Institute at Tulane University, RAND conducted an exploratory study of the features of New Orleans public charter schools that distinguish them from traditional public schools in the city (Steele et al., 2011). New Orleans charter schools had principals who reported higher decisionmaking autonomy and more contracting-out for services, teachers who reported greater ease in maintaining discipline, school personnel who were less likely to say that the school faced serious challenges in promoting student achievement (that is, they evidenced a "no excuses" attitude to boosting student learning), a smaller percentage of teachers who were alternatively licensed, a larger percentage of less-experienced teachers, less professional development for teachers, a higher percentage of parents who listed academic reasons for choosing the school as opposed to ease of transportation, and a larger percentage of satisfied parents. Not all of these indicators were included in the list at the beginning of this appendix because the Steele et al. study was limited by its nonexperimental research methodology and low survey response rates (52 percent for teachers, 36 percent for parents, and 32 percent for principals).

#### Conclusion

The literature examining student achievement in charter schools continues to grow. Several of the reviewed studies compared student achievement or achievement growth in charter schools and traditional public schools. Research that identifies causal relationships between indicators (or moderators) of charter school effectiveness and student outcomes is more limited. However, preliminary results from this literature review revealed 18 potential indicators that have been associated (or hypothesized to be associated) with differences in student outcomes in charter schools. Data were available to include 12 of the potential indicators in this exploratory study.

# Appendix B. Literature review process, data, and methodology

The first step in this exploration of the potential indicators of public charter school effectiveness in New Orleans was to review the research literature systematically to determine what is known about the school features that are associated with charter school effectiveness (see appendix A). Those previous findings then informed the study team's data requests and analytic models. This appendix describes the data and methodology employed to conduct the literature review and the exploratory analysis that followed.

#### Literature review

The study team followed a structured protocol for searching academic databases for peerreviewed journal articles or reports published between 2000 and 2014 on charter school performance and potential indicators of effectiveness. Initial searches returned more than 1,000 potentially relevant results. After reviewing abstracts for each article, the study team identified 32 articles as relevant for a more detailed review.

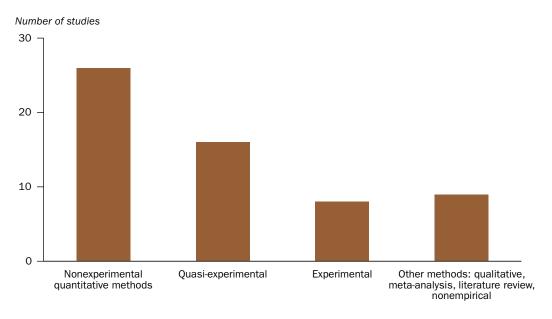
In addition to academic journal articles, the review included research reports from non-partisan university-affiliated and nonprofit research organizations, such as RAND, Mathematica Policy Research, the Center for Research on Education Outcomes, and the Center on Reinventing Public Education. This search uncovered 26 reports for review.

Across all sources the study team identified 58 articles and reports for final review. The systematic review of each article or report focused on identifying primary research questions, methodology employed, and main findings—including factors influencing student achievement, if applicable. In addition, the review included three articles and reports that did not focus explicitly on influences on student achievement but that the study team deemed relevant because of a focus on charter schools in New Orleans.

Overview of study characteristics. The methodologies applied in the 58 studies reviewed are summarized in figure B1. A large majority of the studies (49 of 58) used some kind of quantitative method to explore relationships between charter school variables (such as extended instruction time and size of school) and student achievement. Potential indicators were not randomly assigned to treatment or control groups, even in the studies identified as experimental in this review, as the condition of random assignment was to a charter school or not. Thus, the findings from the literature on the potential indicators of charter school effectiveness should be viewed as correlational and not necessarily causal.

Twenty-six studies employed nonexperimental quantitative methods. Twenty-three studies employed either quasi-experimental methods (15) or experimental methods (8). For the experimental studies, lottery systems to control entry into oversubscribed charter schools provided researchers with natural randomized treatment and control groups. A common quasi-experimental method involved matching charter school students (the treatment group) with otherwise similar traditional public school students (the control group). Researchers compared students across the two groups who are similar in age, socioeconomic status, race/ethnicity, gender, and other background characteristics. An additional 9 studies used other methods (qualitative, meta-analysis, literature review, and nonempirical).

Figure B1. The most common methodology applied in the 58 studies reviewed was nonexperimental quantitative analysis



Source: Authors' compilation from literature review.

A majority of the 58 studies examined only the effect of attending charter schools on student achievement growth, in some cases also exploring student characteristics that might moderate that effect. Only 23 of the reviewed studies identified potential indicators of charter school effectiveness. It was from those 23 studies that the potential indicators for this study were drawn. Because only 5 of the 23 studies identifying potential indicators were experimental, factors reported in any of the studies regardless of their methodological rigor were included in the potential indicators list.

Potential indicators from the studies. The literature review identified 23 potential indicators of charter school student achievement. Three potential indicators were excluded from the analyses in this study because either too few or too many charter schools had that feature: availability of tutoring, Knowledge is Power Program (KIPP) school model, and for-profit or nonprofit status. Eight potential indicators identified by prior research were excluded from this study because reliable measures were unavailable: administrative structure of the charter management organization, academic press (universally high expectations for student achievement), extent of instructional innovation, principal leadership, parent involvement, disciplinary policy, amount and difficulty of homework, and use of formative assessment. The 12 remaining potential indicators included in one or more of the analyses in this study were:

- Authorizer type.
- School age.
- School size.
- Grades served, represented in the analysis by the subindicators of number of grades served and inclusion of kindergarten as an entry grade.
- Extended learning day.
- Extended school year.
- Percentage of teachers traditionally certified.

- Percentage of teachers with a graduate degree.
- Teacher experience in years.
- Teacher quality, represented in the analysis by the percentage of teachers rated highly effective.
- Class size, represented in the analysis by student-teacher ratio.
- Availability of student support staff (such as counselors, speech therapists, and occupational therapists).

#### Data

The analysis of the indicators of charter school effectiveness drew on the following data sources (as indicated in table B1):

- Data available on the Louisiana Department of Education (LDE) website Louisiana Believes (https://www.louisianabelieves.com).
  - The LDE's student accountability testing database.
  - The LDE's Student Information System database.
  - The LDE's Profile of Educational Professionals database.
  - The LDE's School Report Cards.
- National Center for Education Statistics Common Core of Data (U.S. Department of Education, 2013).
- New Orleans Parents' Guide to Public Schools (New Orleans Parents' Guide, 2013).<sup>3</sup>

All data for the main analysis were for the 2012/13 school year and were measured at or aggregated to the school level. Data on student achievement growth also were obtained for the 2013/14 school year to test the robustness of the findings of the main analysis.

The LDE calculated school-level value-added measures (VAM) using a student-level model and then aggregated the results by individual school campus (there were 75 chartered schools in New Orleans in 2012/13, supporting 92 different campuses). Student performance on the 2013 outcome test in a given domain was estimated as a function of student performance on the prior-year test in that same domain as well as student background characteristics such as race/ethnicity, gender, low-income status (identified by eligibility for the federal school lunch program), English learner status, and special education status. The student-level residuals from the LDE regression were then converted to z-scores by student grade level and grade-promotion history to adjust for higher learning trajectories for younger students and students who were never retained in grade.<sup>4</sup> Finally, the z-scores for student-level residuals were aggregated to the school level. Achievement growth estimated in this way isolates variance in student learning that might reasonably be attributed to the school the student currently attends and to its various features (Chetty, Friedman, & Rockoff, 2012; Kane, McCaffrey, Miller, & Staiger, 2013).

Achievement in Louisiana was assessed using two state-administered standardized tests, the Integrated Louisiana Educational Assessment Program (iLEAP) and the Louisiana Educational Assessment Program (LEAP). The iLEAP, which is administered in grades 3, 5, 6, and 7, combines a criterion-based component and the normative Iowa Test of Basic Skills. The LEAP test is a high-stakes, criterion-based assessment administered in grades 4 and 8. The criterion-based elements of both tests are based on Louisiana state standards. According to the LDE testing officers, the LEAP and iLEAP are similar enough in content and scoring to generate valid school-level VAM scores even if most individual student

Table B1. Variables included in the current study of potential indicators of the effectiveness of New Orleans charter schools, 2012/13

Variable	Description	Source
Organizational		
Authorized by Recovery School District	Indicator for whether the Recovery School District <sup>a</sup> was the official authorizer of the charter school	Louisiana Believes website (https://www. louisianabelieves.com)
School age	Total years from the year of the school's founding, in any sector, until 2013	U.S. Department of Education (2013)
School size in number of students	Student enrollment on the fall 2012 enrollment count day	U.S. Department of Education (2013)
Grades served		
Number of grades	Calculated from the reported grade range of the school	U.S. Department of Education (2013)
Includes prekindergarten	Indicator variable calculated from the reported grade range of the school used exclusively as a control variable in the analysis	U.S. Department of Education (2013)
Includes kindergarten	Indicator variable calculated from the reported grade range of the school	U.S. Department of Education (2013)
Operational		
Offers extended learning day	Indicator of whether the school had a formal school day longer than the conventional seven hours	New Orleans Parents' Guide (2013)
Offers extended school year	Indicator of whether the school operated for more days than the state required	New Orleans Parents' Guide (2013)
Instructional		
Percentage of teachers traditionally certified	Proportion of the teaching staff with formal certification	Louisiana Department of Education
Percentage of teachers with a graduate degree	Proportion of the teaching staff with a master's or doctorate in any field	Louisiana Department of Education
Teacher experience in years	Average years of experience for the teaching staff	Louisiana Department of Education
Percentage of teachers rated highly effective	Proportion of teachers rated highly effective at educational practice based on state's observational rating system	Louisiana Department of Education
Student-teacher ratio	Total student enrollment divided by total number of teachers, both instructional and noninstructional	Louisiana Department of Education
Student support staff index	Count of each of the following supports offered at the school: speech therapy, occupational therapy, adapted physical education, mental health coordinator, on-site counseling, school psychologist, mentoring, and peer supports	Louisiana Department of Education
Outcome		
English language arts VAM	Average student value-added after prior-year achievement and student background characteristics expected to affect learning are controlled for	Louisiana Department of Education
Math VAM	Average student value-added after prior-year achievement and student background characteristics expected to affect learning are controlled for	Louisiana Department of Education
Science VAM	Average student value-added after prior-year achievement and student background characteristics expected to affect learning are controlled for	Louisiana Department of Education

VAM is value-added measure of school-level student achievement growth.

**Source:** Authors' compilation.

a. A special school district run by the Louisiana Department of Education that intervenes in the management of chronically low-performing schools.

VAM estimates use iLEAP as a prior-year control and LEAP as the outcome or vice versa. This study excluded social studies as an outcome measure because the research literature supporting the identification of potential indicators was based on studies that used English language arts, math, or science as student outcomes.

Before the study analysis, the study team determined that potential indicators would be excluded from the ordinary least squares (OLS) estimations if 90 percent or more of the observations had the same value for that variable. Any variable that varied so little across cases would be highly unlikely to explain variation in school-level VAM scores, which varied greatly and continuously across observations. Such a variable likely would have unacceptably high multicollinearity with other explanatory variables in the regression model. Two potential indicators were excluded from the analysis on this basis: providing free transportation to students (92 percent of the observations contained the common value of 1) and providing tutoring (90 percent of the observations contained the common value of 1).

#### Sampling

This study included two samples. Sample 1 was designed to capture the profile of all the public charter schools operating in New Orleans in 2012/13. All 92 charter school campuses with a unique LDE site code and data indicating that they operated during the 2012/13 school year were included. The fact that the exploratory analysis of potential indicators was limited to charter schools in New Orleans holds constant all city-specific factors that otherwise might confound the relationships in a potential indicator study with a larger geographic scope.

Sample 2 was designed to inform the research question on the potential indicators of charter school effectiveness. Because school-level VAM scores were the measure of charter school effectiveness, 36 charter campuses that lacked VAM scores for 2013 for all three of the domains of English language arts, math, and science were excluded from this analytic sample. Six 2012/13 New Orleans charter schools with VAM scores were open only to students who passed an admissions test. Since the ability of such schools to control the quality of the students they admit could confound any relationship between other school characteristics and school effectiveness, those schools were excluded from the analytic sample. The 50 school campuses that remained in sample 2 represented the complete set of open-enrollment charter schools in New Orleans in 2012/13 with VAM outcome data for at least one of the three subjects. VAM scores were available in all three subjects for 47 public charter schools in the sample. Those schools contributed to the exploratory results for English language arts, math, and science. VAM scores were available in English language arts and math but not science for one school, for math and science but not English language arts for another school, and for English language arts and science but not math for a third school. Thus, three schools informed the exploration of potential indicators for two of the subjects but not the third one.

Language immersion charter schools were included in sample 2. Those schools require some familiarity with a foreign language as a minimal condition of admission. This language requirement differs in two ways from the admission-test requirements of the six charter schools excluded from sample 2. First, basic language familiarity is a less rigorous screen on student ability than the requirement to achieve a high overall score on a

general academic ability test. Second, test-admission charter schools are selecting students on initial achievement in the areas that generate the VAM scores that are the dependent variables in the analysis. That makes them fundamentally different from language immersion schools, conceptually and in terms of the exploratory statistical analysis. For example, the VAM scores have less variance in the test-admission charter schools than in the other charter schools, including the language immersion schools. Including both groups in the same statistical regression analysis would produce heteroskedastic error terms, thus violating a necessary condition of regression that "the variance of the disturbance is constant for all observations" (Kmenta, 1986, p. 269). Heteroskedasticity reduces the efficiency of regression estimations, which is a serious concern for studies with small numbers of observations such as this one. For these reasons, language immersion charter schools were included in the sample while general test-admission charter schools were excluded.

Descriptive statistics for sample 2 are shown in table B2. Student counts and composition for each analytic sample are in table B3.

Table B2. Descriptive statistics for analytic sample of open-enrollment charter schools in New Orleans with at least some value-added measure data in 2012/13

Statistic	Mean	Standard deviation	Minimum	Maximum	Number of school campuses
Organizational					
Authorized by Recovery School District <sup>a</sup> (percent)	84.0	na	na	na	50
School age (years)	7.3	2.6	3.0	14.0	50
School size (number of students)	498.6	167.4	130.0	938.0	49
Grades served					
Number of grades <sup>b</sup>	8.5	2.0	4.0	14.0	50
Includes prekindergarten (percent)	52.0	na	na	na	50
Includes kindergarten (percent)	88.0	na	na	na	50
Includes grade 6 (percent)	84.0	na	na	na	50
Includes grade 12 (percent)	6.0	na	na	na	50
Female students (percent)	47.7	8.2	0.0	58.8	46
Students from low-income households (percent)	91.4	10.7	51.0	98.0	49
Black students (percent)	93.7	12.6	47.7	100.0	46
Hispanic students (percent)	2.9	8.1	0.0	51.4	46
English learner students (percent)	1.5	5.3	0.0	35.6	46
Students in special education (percent)	10.6	3.9	2.5	21.0	49
Operational					
Schools providing transportation (percent)	92.0	na	na	na	50
Schools offering extended learning day (percent)	74.0	na	na	na	50
Schools offering extended school year (percent)	56.0	na	na	na	50
Instructional					
Teachers traditionally certified (percent)	77.4	15.3	31.0	98.0	46
Teachers with a graduate degree (percent)	24.5	13.7	0.0	67.0	46
Teacher experience (years)	7.4	4.3	1.2	19.3	50
Teachers rated highly effective (percent)	14.3	22.5	0.0	83.0	40
Student-teacher ratio	15.0	2.6	7.0	21.0	48
Student support staff index <sup>c</sup>	1.8	1.3	0.0	8.0	50
Schools providing tutoring (percent)	90.0	na	na	na	50

(continued)

Table B2. Descriptive statistics for analytic sample of open-enrollment charter schools in New Orleans with at least some value-added measure data in 2012/13 (continued)

Statistic	Mean	Standard deviation	Minimum	Maximum	Number of school campuses
Outcome					
English language arts VAM <sup>d</sup>	2.8	8.1	-14.3	29.8	49
Math VAM <sup>d</sup>	7.5	13.7	-29.2	44.2	49
Science VAM <sup>d</sup>	1.9	7.3	-18.0	17.0	49

na means that the descriptive statistic was not meaningful for the variable because it takes only a value of 1 or 0; VAM is value-added measure of student achievement growth.

**Note:** The highlighted variables were included in the statistical models for the potential indicator analysis. Of the 50 school campuses that had value-added measure scores in one or more subject area, 47 had them for all three domains and 3 had them for two domains.

- **a.** A special school district run by the Louisiana Department of Education that intervenes in the management of chronically low-performing schools.
- **b.** Grade configurations varied, but every school included at least one of the indicator grades 4–8 and most included two of them (for example, K and 6, 6 and 12).
- c. Count of each of the student supports offered at a school.
- d. Expressed as scaled score units, which account for different rates of learning in various grades.

**Source:** Authors' calculations based on school-level data provided by the Louisiana Department of Education and New Orleans Parents' Guide (2013).

Table B3. Size and composition of study samples of New Orleans charter schools in 2012/13

Sample	Focus	Possible grades	Number of schools	Number of students
1	Descriptive statistics (see appendix C)	K-12	92	46,064
2	Potential indicators of effectiveness	4–8	50	12,560

**Note:** The number of students informing the sample used to generate the value-added measure scores was estimated by multiplying the average number of students per grade at a school by the number of grades served in the grade 4–8 range. The number of students informing the calculation of other school-level variables used in the study varied and was higher to the extent that the school included grades outside of the testing window of 4–8.

Source: Authors' calculations based on school-level data provided by the Louisiana Department of Education.

#### Methodology

The research question for this study was addressed using OLS statistical regression analysis on school-level data. The purpose of OLS is to identify the pattern of association between a set of explanatory variables and a single dependent variable that minimizes the sum of the squared errors from the calculation.

The following equation describes the OLS regression approach used here:

$$VAM_{sk} = B_0 + B_1 X_{jk} + e_k$$

where VAM<sub>sk</sub> is the 2012/13 VAM score in subject s for school k was estimated as a function of a constant  $B_0$  and various  $B_1$ s that measure the association between j indicator

variables measured at school-level k and  $VAM_{sk}$ . The expression  $e_k$  is the residual error after factoring in the extent to which the constant and the indicator variables explain the levels of  $VAM_{sk}$ . The  $B_1$ s indicate the direction of the relationship—whether the specific potential indicator variable is associated with higher or lower levels of VAM—as well as the size of the association.

It was not necessary to control for the effects of student demographic characteristics in the statistical models. Student demographic characteristics, such as race/ethnicity, English learner status, and special education status, were all factored into the LDE's calculation of school-level VAM. Student achievement in the previous year, 2011/12, also was a key element of the VAM calculations and therefore did not need to be controlled for in the regression models.

An average of 3.2 percent of the data for the 12 potential indicator variables in the statistical model was missing. Missing baseline data were multiple-imputed to preserve the size and representativeness of the achievement samples (King, Honaker, Joseph, & Scheve, 2001; Puma, Olsen, Bell, & Price, 2009). None of the school-level VAM dependent variables were imputed.

A supplemental analysis using descriptive statistics was also performed comparing the features of the New Orleans charter school population with all Louisiana public schools (see appendix C). School-level mean values on VAM scores and potential indicators of charter school effectiveness were calculated for all charter school campuses in operation during 2012/13. Those New Orleans charter population means were compared with the school-level averages across all of Louisiana. Differences were calculated as the New Orleans charter average minus the statewide school average, and those differences were divided by the statewide school average to generate a percentage.

Comparable statewide data were obtained for only half of the 26 school features for which descriptive information was available in sample 1 of all New Orleans public charter school campuses. State data privacy laws prevent the LDE from publishing the school-level state averages for school-level VAM scores for English language arts, math, or science as well as the school-level state averages for teacher years of experience, teacher certification percentage, and teacher graduate degree percentage. The LDE was able to provide these school-level data for this study with the restriction that the report would not present any aggregate state-level calculation of school-level VAM or those teacher characteristics. The measures of whether a New Orleans charter school offered tutoring, free transportation, extended learning day, and extended school year were taken from the New Orleans Parents' Guide to Public Schools (New Orleans Parents' Guide, 2013). Comparable information was not available statewide. The school-level state average for the percentage of English learner students was published as "less than 5 percent," a description too vague to be used in the comparison with New Orleans charter schools. Finally, the indicator variable for a school being authorized by the Recovery School District distinguishes public charter schools within New Orleans but not public schools outside the city.

This study is observational and exploratory, as it lacks the design elements required to identify causal relationships. It is possible that the associations identified in the analysis between potential indicators and student achievement growth are spurious, that is, are

caused by factors outside of the analysis, or endogenous, with greater effectiveness causing the school to adopt the indicator feature.

#### Sensitivity tests

Two sensitivity tests were run on the results from the potential indicator statistical analysis. First, the analysis was rerun on the data using listwise deletion instead of multiple imputation to deal with observations with missing data. The results did not change substantively. Second, the analysis was rerun on 2013/14 school-level VAM data provided by the LDE. None of the potential indicators of charter school effectiveness as measured in 2012/13 were associated with variation in 2013/14 English language arts, math, or science VAM.

# Appendix C. Characteristics of New Orleans charter schools and Louisiana public schools

This appendix describes the features of the New Orleans charter school population and then compares them with all Louisiana public schools. School-level mean values on value-added measures (VAM) and potential indicators of charter school effectiveness were calculated for all 92 New Orleans charter school campuses in operation during 2012/13. The averages for the New Orleans charter population were compared with Louisiana school-level averages. Differences were calculated as the New Orleans charter school average minus the statewide school average divided by the statewide school average to generate a percentage difference between New Orleans charter schools and all Louisiana public schools relative to the average value for all schools. Significance tests could not be conducted on the population differences because data on the population variance for the census of Louisiana public schools were not available. Any apparent differences between the populations of New Orleans public charter schools and all Louisiana public schools could be due to the New Orleans schools being urban, the charter schools being public schools, some combination of the two, or other unknown factors.

Although data challenges limited the number of school features the study could examine (see appendix A), many salient features were included (table C1). The average age of New Orleans charter schools was 7 years, with the youngest having operated for 3 years and the oldest for 14 years. Enrollment averaged 501 students and ranged from 119 to 1,697 students. The average number of grades served was 8, with 29 percent including grade 12. The Recovery School District authorized 78 percent of New Orleans charter schools. The proportion of students from low-income households (as indicated by eligibility for the federal school lunch program) averaged 91 percent, the proportion of Black students averaged 91 percent, and the proportion of students in special education averaged 11 percent.

Table C1. Descriptive statistics for the census of 2012/13 New Orleans public charter school campuses, 2012/13

Statistic	Mean	Standard deviation	Minimum	Maximum	Number of school campuses
Organizational					
Authorized by Recovery School District <sup>a</sup> (percent)	77.8	na	na	na	90
School age (years)	7.4	2.3	3.0	14.0	86
School size (number of students)	500.7	240.6	119.0	1,697.0	81
Grades served					
Number of grades <sup>b</sup>	7.5	2.8	1.0	14.0	89
Includes prekindergarten (percent)	41.6	na	na	na	89
Includes kindergarten (percent)	65.2	na	na	na	89
Includes grade 6 (percent)	65.2	na	na	na	89
Includes grade 12 (percent)	29.2	na	na	na	89
Female students (percent)	47.6	8.3	0.0	67.3	61
Students from low-income households (percent)	90.6	10.3	51.0	99.7	78
Black students (percent)	90.9	17.7	18.3	100.0	61
Hispanic students (percent)	2.9	7.2	0.0	51.4	61
English learner students (percent)	1.7	4.9	0.0	35.6	61

(continued)

Table C1. Descriptive statistics for the census of 2012/13 New Orleans public charter school campuses, 2012/13 (continued)

Statistic	Mean	Standard deviation	Minimum	Maximum	Number of school campuses
Students in special education (percent)	10.8	4.2	2.5	22.0	78
Operational					
Schools providing transportation (percent)	95.5	na	na	na	89
Schools offering extended learning day (percent)	71.9	na	na	na	89
Schools offering extended school year (percent)	52.8	na	na	na	89
Instructional					
Teachers traditionally certified (percent)	79.6	14.8	31.0	98.0	58
Teachers with a graduate degree (percent)	24.9	14.8	0.0	67.0	58
Teacher experience (years)	7.7	4.3	1.2	19.3	70
Teachers rated highly effective (percent)	12.7	20.1	0.0	83.0	56
Student-teacher ratio	14.8	2.6	7.0	21.0	78
Student support staff index <sup>c</sup>	1.8	1.1	0.0	8.0	89
Schools providing tutoring (percent)	93.3	na	na	na	89
Outcome					
English language arts VAM <sup>d</sup>	2.9	7.9	-14.3	29.8	55
Math VAM <sup>d</sup>	7.3	13.3	-29.2	44.2	55
Science VAM <sup>d</sup>	1.7	7.2	-18.0	17.0	55

na means that the descriptive statistic was not meaningful for the variable because it only takes the value 1 or 0; VAM is value-added measure of student achievement growth.

**Note:** A total of 92 public charter school campuses operated in New Orleans in 2012/13. The number of school campuses with data for each characteristic varied substantially because many campuses were not required to report some information to the state, they were required but neglected to do so, or they reported the information but it was lost or corrupted in the process of data aggregation.

- **a.** A special school district run by the Louisiana Department of Education that intervenes in the management of chronically low-performing schools.
- **b.** Grade configurations varied, but every school included at least one of the indicator grades 4–8 and most included two of them (for example, K and 6, 6 and 12).
- **c.** Count of each of the student supports offered at a school.
- d. Expressed as scaled score units, which account for different rates of learning in various grades.

**Source:** Authors' compilation from school-level data provided by the Louisiana Department of Education and New Orleans Parents' Guide (2013).

A total of 72 percent of New Orleans charter schools provided an extended learning day, and 53 percent provided an extended school year. The proportion of teachers with traditional certification averaged 80 percent, with an average of 25 percent having a graduate degree. Teachers averaged 7.7 years of teaching experience, and 13 percent of teachers were rated highly effective in practice. The average student–teacher ratio was 15, ranging from 7 to 21.

#### The average New Orleans charter school offered more grades than the average Louisiana school

In 2012/13 New Orleans charter schools were similar to all public schools in the state on some key characteristics and distinctive on others (table C2). The two populations of schools were considered approximately similar on a characteristic if the difference was less than 5 percent and descriptively distinctive if the difference was 5 percent or more.<sup>5</sup> The average for New Orleans charter schools was similar to the statewide average for school size, percentage of female students, percentage of students in special education, and student—teacher ratio.

Table C2. Comparison of New Orleans public charter schools and state public schools on selected characteristics, 2012/13

Characteristic	New Orleans charter mean	Louisiana mean	Difference	Percent difference
Organizational				
School size (number of students)	500.7	493.3	7.4	1.5
Number of grades <sup>a</sup>	7.5	5.9	1.6	27.1
Includes kindergarten (percent)	65.2	49.6	15.6	31.5
Includes grade 6 (percent)	65.2	36.4	28.8	79.1
Includes grade 12 (percent)	29.2	23.0	6.2	27.0
Female students (percent)	47.6	48.7	-1.1	-2.3
Low-income students (percent)	90.6	66.3	24.3	36.7
Black students (percent)	90.9	45.0	45.9	102.0
Hispanic students (percent)	2.9	4.3	-1.5	-32.6
Students in special education (percent)	10.8	11.3	-0.5	-4.4
Instructional				
Teachers rated highly effective (percent)	12.7	18.3	-5.6	-30.6
Student-teacher ratio	14.8	15.2	-0.4	-2.6
Student support staff index <sup>b</sup>	1.8	3.2	-1.4	-43.8

**Note:** The two populations of schools were considered approximately similar on a characteristic if the difference was less than 5 percent and descriptively distinctive if the difference was 5 percent or more. Descriptively distinctive characteristics are shown in bold.

**Source:** Authors' compilation from school-level data provided by the Louisiana Department of Education and New Orleans Parents' Guide (2013).

New Orleans charter schools were descriptively distinctive in that they offered 27 percent more grades, on average, than the statewide norm (7.5 compared with 5.9). The average New Orleans charter school was much more likely to include kindergarten (32 percent more likely), grade 6 (79 percent more likely), and grade 12 (27 percent more likely) than the average Louisiana public school. Charter schools more often included broad grade ranges such as K–6, K–8, or even K–12 that cut across typical school-building transition grades.

The average New Orleans charter school served more Black students and more students from low-income households than the average Louisiana school did

The proportion of Black students in the average New Orleans charter school (91 percent) was more than twice the statewide average (45 percent), and the proportion of students from low-income households was more than a third higher (91 percent) than the statewide average (66 percent). The average proportion of Hispanic students in New Orleans charter schools (3 percent) was lower than the statewide average (4 percent). The average score on the student support staff index (count of student supports offered at a particular school) for New Orleans charter schools (1.8) was 1.4 points lower than the statewide average (3.2). On average, 12.7 percent of teachers in New Orleans charter schools were rated highly effective, 5.6 percentage points less than the average of 18.3 percent for all public schools in Louisiana.

**a.** Grade configurations varied, but every school included at least one of the indicator grades 4–8 and most included two of them (for example, K and 6, 6 and 12).

b. Count of each of the student supports offered at a school.

# **Appendix D. Detailed results**

This appendix reports the results of the regression analysis of potential indicators of New Orleans charter school effectiveness for English language arts value-added measures (table D1), math VAM (table D2), and science VAM (table D3).

Table D1. Association of potential indicators of effectiveness in New Orleans public charter schools for English language arts value-added measure, 2012/13 (unstandardized regression coefficient estimates)

Characteristic	Beta	Standard error	p value
Organizational			
Authorized by Recovery School District <sup>a</sup>	3.78	3.50	.29
School age	-0.78	0.49	.12
School size in number of students	1.48	0.78	.07
Number of grades served	-1.83	0.95	.06
Includes prekindergarten	-4.51	2.95	.14
Includes kindergarten	15.30	4.21**	.00
Operational			
Offers extended learning day	-3.11	2.95	.30
Offers extended school year	5.22	2.33*	.03
Instructional			
Percentage of teachers traditionally certified	12.20	9.33	.21
Percentage of teachers with a graduate degree	-25.55	10.16*	.02
Teacher experience in years	1.09	0.46*	.02
Percentage of teachers rated highly effective	2.87	4.14	.59
Student-teacher ratio	-0.92	0.39*	.03
Student support staff index <sup>b</sup>	-0.15	0.83	.86

<sup>\*</sup> Significant at p < .05; \*\* significant at p < .01.

**Note:** Value-added measure scores are in scale score units, which account for different rates of learning in various grades. F-statistic = 3.10; probability > F = .00.

**Source:** Authors' calculations based on school-level data provided by the Louisiana Department of Education and New Orleans Parents' Guide (2013).

**a.** A special school district run by the Louisiana Department of Education that intervenes in the management of chronically low-performing schools.

**b.** Count of each of the student supports offered at a school.

Table D2. Association of potential indicators of effectiveness in New Orleans public charter schools for math value-added measure, 2012/13 (unstandardized regression coefficient estimates)

Characteristic	Beta	Standard error	p value
Organizational			
Authorized by Recovery School District <sup>a</sup>	4.65	7.11	.52
School age	-0.78	1.06	.47
School size in number of students	2.08	1.57	.20
Number of grades served	-2.21	2.03	.29
Includes prekindergarten	-7.06	6.03	.25
Includes kindergarten	22.59	9.04*	.02
Operational			
Offers extended learning day	-0.95	6.25	.88
Offers extended school year	6.45	4.94	.20
Instructional			
Percentage of teachers traditionally certified	11.15	20.65	.60
Percentage of teachers with a graduate degree	-33.83	22.57	.15
Teacher experience in years	0.98	1.00	.34
Percentage of teachers rated highly effective	1.15	9.31	.90
Student-teacher ratio	-0.82	0.82	.33
Student support staff index <sup>b</sup>	-0.90	1.76	.61
·			

<sup>\*</sup> Significant at p < .05; \*\* significant at p < .01

**Note:** Value-added measure scores are in scale score units, which account for different rates of learning in various grades. F-statistic = 1.09; probability > F = .41.

**Source:** Authors' calculations based on school-level data provided by the Louisiana Department of Education and New Orleans Parents' Guide (2013).

**a.** A special school district run by the Louisiana Department of Education that intervenes in the management of chronically low-performing schools.

**b.** Count of each of the student supports offered at a school.

Table D3. Association of potential indicators of effectiveness in New Orleans public charter schools for science value-added measure, 2012/13 (unstandardized regression coefficient estimates)

Characteristic	Beta	Standard error	p value
Organizational			
Authorized by Recovery School District <sup>a</sup>	2.85	4.25	.51
School age	0.13	0.59	.83
School size in number of students	0.08	0.86	.92
Number of grades served	0.59	1.12	.60
Includes prekindergarten	-2.12	3.37	.53
Includes kindergarten	0.31	4.97	.95
Operational			
Offers extended learning day	-2.42	3.43	.49
Offers extended school year	3.09	2.75	.27
Instructional			
Percentage of teachers traditionally certified	-0.74	10.86	.95
Percentage of teachers with a graduate degree	-4.49	12.05	.71
Teacher experience in years	0.08	0.56	.88
Percentage of teachers rated highly effective	-1.86	4.57	.69
Student-teacher ratio	-1.24	0.45*	.01
Student support staff index <sup>b</sup>	-2.00	0.97*	.05

<sup>\*</sup> Significant at p < .05; \*\* significant at p < .01

**Note:** Value-added measure scores are in scale score units, which account for different rates of learning in various grades. F-statistic = 1.27; probability > F = .28.

**Source:** Authors' calculations based on school-level data provided by the Louisiana Department of Education and New Orleans Parents' Guide (2013).

**a.** A special school district run by the Louisiana Department of Education that intervenes in the management of chronically low-performing schools.

**b.** Count of each of the student supports offered at a school.

## **Notes**

- 1. Core alliance members represent the following institutions: Andrew H. Wilson Charter School, Audubon Charter School, Benjamin Franklin High School, Cypress Academy, Einstein Elementary Charter School, Greater New Orleans Collaborative of Charter Schools, Hynes Charter School, International School of Louisiana, Jefferson Parish School District, Lake Forest Elementary Charter School, Louisiana Department of Education, Lusher Charter School, Magnolia School of Excellence, Morris Jeff Community School, New Beginnings Schools Foundation, New Orleans Charter Science and Mathematics High School, School Leadership Center of Greater New Orleans, Tulane University, Warren Easton Charter High School, West Feliciana Parish Schools, and Xavier University of Louisiana.
- 2. High school students in grades 9–12 are assessed by end-of-course exams that are administered by subject and are not comparable from year to year. As such, high schools that do not include grade 7 or 8 are not included in this analysis.
- 3. New Orleans Parents' Guide to Public Schools is produced annually by the New Orleans Parents' Guide, a nonprofit community organization, and includes an associated smartphone app. Staff and volunteers of the New Orleans Parents' Guide collect information directly from all the public schools in the city and verify those data with LDE prior to publishing the guide.
- 4. Grade promotion history was accounted for in the conversion to a z-score of the dependent variable and not controlled for in the regression estimations themselves because the analysis already suffered from having a small number of degrees of freedom.
- 5. Five percent was selected as the benchmark for differences being distinctive because a difference of that magnitude can be noticeable to the observer, whereas a difference of less than 5 percent tends to be imperceptible. Information about the variance around the mean for the statewide averages was not available, preventing the use of formal significance tests in judging the reliability of the differences.

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